## Sparse Representation Fusion of Fingerprint, Iris and Palmprint Biometric Features

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#### Abstract

Multimodal Biometric System using multiple sources of information for establishing the identity has been widely recognized. But the computational models for multimodal biometrics recognition have only recently received attention. In this paper multimodal biometric image such as fingerprint, palmprint, and iris are extracted individually and are fused together using a sparse fusion mechanism. A multimodal sparse representation method is proposed, which interprets the test data by a sparse linear combination of training data, while constraining the observations from different modalities of the test subject to share their sparse representations. The images are pre-processed for feature extraction. In this process Sobel, canny, Prewitt edge detection methods were applied. The image quality was measured using PSNR, NAE, and NCC metrics. Based on the results obtained, Sobel edge detection was used for feature extraction. Extracted features were subjected to sparse representation for the fusion of different modalities. The fused template can be used for watermarking and person identification application. CASIA database is chosen for the biometric images.

### **Keywords**

Multimodal biometrics, feature fusion, sparse representation.

## 1. Introduction

Biometric refers to the automatic recognition of individuals based on their physiological and behavioral characteristics. Physiological biometrics (fingerprint, iris, retina, hand geometry, face, etc) use measurements from the human body. Behavioral biometrics (signature, keystrokes, voice, etc) [2] use

dynamics measurements based on human actions. Universality, uniqueness, permanence, collectability, acceptability, resistance to circumvention. performances are the characteristics of biometric features. The physical characteristics taken are Fingerprint, Palmprint and Iris. A fingerprint is the pattern of ridges and valleys on the surface of a fingertip. It has been empirically determined that the fingerprints of identical twins are the feature values typically correspond to the position and orientation of certain critical points known as minutiae points[2]. The iris is the annular region of the eye bounded by the pupil and the sclera (white of the eye) on either side. The complex iris texture carries very distinctive information useful for personal recognition of high accuracy and speed. Each Iris is believed to be distinctive. It is possible to detect artificial irises (contact lenses) [7]. The palms of the human hands contain pattern of ridges and valleys much like the fingerprints. Human palms also contain additional distinctive features such as principal lines and wrinkles that can. It is easy to be captured even with a lower resolution scanner. Unimodal biometric systems rely on a single source of information such as a single iris or fingerprint or palmprint for authentication. Unfortunately these systems have to deal with some of the following inevitable problems like Noisy data, Non-universality, Intra-class variations, Spoof attack. It has been observed that some of the limitations of unimodal biometric systems can be addressed by deploying multimodal biometric systems that essentially integrate the evidence presented by multiple sources of information such as iris, fingerprints and palm prints. Multimodal-biometric: The term multimodalbiometrics denotes the fusion of different types of information (e.g., fingerprint and face of the same person, or fingerprints from two different fingers of a person). [2] Multimodal-biometrics has addressed some issue related to unimodal such as, (a) Nonuniversality or insufficient population coverage (reduce failure to enroll rate which increase population coverage). (b) It becomes increasingly difficult for an impostor to spoof multiple biometric traits of a legitimately enrolled individual. (c) Multimodal-biometric systems also effectively

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address the problem of noisy data (illness affecting voice, scar affecting fingerprint.

Classification in multimodal-biometric systems is done by fusing information from different biometric modalities. Information fusion can be done at different levels, broadly divided into feature-level, score-level [2] and rank/decision-level fusion. Due to preservation of raw information, feature-level fusion can be more discriminative than score or decisionlevel fusion. But, feature-level fusion methods are being explored in the biometric community only recently. This is because of the differences in features extracted from different sensors in terms of type and dimensions. Often features have large dimensions, and fusion becomes difficult at the feature level. The prevalent method is feature concatenation, which has been used for different multi-biometric settings. However, for high dimensional feature vectors, simple feature concatenation may be inefficient and non-robust. In recent years, a theory of Sparse Representation (SR) has emerged as powerful tools for efficient processing of data in non-traditional ways [1]. The proposed methodology uses sparse representation for the fusion of extracted biometric features [3].

### 2. Literature Review

In 2013, Meng Ding et al [1] proposed the fusion method based on compressive sensing theory which contains over complete dictionary, an algorithm for sparse vector approximation and fusion rule. In 2012, J.Aravinth et al [2] describes the feature extraction techniques for three modalities viz. fingerprint, iris and face. The extracted information is stored as a template which can be fused using density based score level fusion. In 2010, Gaurav Bhatnagar et al [6] presented a new watermark embedding technique based on Discrete Wavelet transform (DWT) for hiding little but important information in images. In 2011, Aly I. Desoky et al [7] proposed an iris recognition algorithm in which a set of iris images of a given eyes are fused to generate a final template using the most consistent feature data. In 2013, Wei Jia et al [8] proposed a new descriptor of palmprint named histogram of oriented lines (HOL), which is a variant of histogram of oriented gradients (HOG). In 2011, Shu Kong et al [9] proposed to fuse the multiple features into a more preferable presentation, which is more compact and more discriminative for better FR performance. In 2013, Sumit Shekhar et al [3] proposed a multimodal sparse representation method, which represents the test data by a sparse

linear combination of training data. while constraining the observations from different modalities of the test subject to share their sparse representations. In 2010, Li Xufang et al [12] proposed that the source images were represented with sparse coefficients using an overcomplete dictionary. In 2012, Mehmet Belgin [5] evaluates the applicability of PBR by testing it on a large set of matrices from the sparse matrix collection. In 2013, et al [4] address the problem of Arun Ross information fusion in biometric verification systems by combining information at the matching score level. In 2011, Punam Bedi et al [11] presented a robust multimodal biometric image watermarking scheme using Particle Swarm Optimization (PSO). In 2013, Yongsheng Chen et.al [10] proposed to fuse sparse coefficients of images using the details and activity levels of each sliding window. In 2012, Sunil Kumar Panjeta et.al [13] analysed different fusion techniques for different images of the same scene to improve quality of the image. In 2011, Parul Shah et.al [14] presented a novel fusion rule which fuses multifocus images in the wavelet domain by taking a weighted average of the pixels. In 2012, Maheswari et.al [15] used hamming distance based matching algorithm for comparing the templates. In 2012, Gayathri et.al [16] extracted Gabor Texture from preprocessed palmprint and iris images.

## 3. Proposed Work

The proposed work describes the fusion of multimodal biometric images such as, palmprint, iris



Fig 1. Flow diagram of proposed work.

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and fingerprint. Initially the features are extracted individually from the biometric images. Then the resultant modalities are fused together into a simple template. Figure 1 explains the flow diagram of the proposed work.

## 4. Biometric Feature Extraction

Some of the limitations of unimodal biometric systems can be addressed by deploying multimodal biometric systems that essentially integrate the evidence presented by multiple sources of information such as iris, fingerprint and palm print. Such systems are less vulnerable to spoof attacks as it would be difficult for an imposter to simultaneously spoof multiple biometric traits of a genuine user [7]. Due to sufficient population coverage, these systems are able to address the problem of non-universality.

#### 4.1 Fingerprint Extraction

Figure 2 represents the flow diagram of fingerprint feature extraction. In the first step fingerprint image is fed as the input. The image is subjected to Adaptive histogram equalization technique. This is used to enhance the contrast of the grayscale image by transforming the values using contrast-limited adaptive histogram equalization (CLAHE). Histogram equalization defines a mapping of gray levels into gray levels such that the distribution of grav level is uniform. Since contrast is expanded for most of the image pixels, the transformation improves the detect ability of many image features. The enhanced image is passed to orientation process to find the direction of edges in the fingerprint image [2]. This can be achieved by using the SOBEL filter to detect the edges of the image. For an image edge, the direction can be defined in terms of the gradient, pointing in the direction of maximum image intensity increase (from dark to bright). This implies that two edges can have the same orientation but the corresponding image gradients point in opposite directions if the edges go in different directions. ROI selection is used to give maximum magnitude of convolution in the region of core point. This fingerprint masking is used to select the region where the fingerprint images are present. This Region of Interest (ROI) is done by masking. Once this is done, the feature of the fingerprint image is successfully extracted. The input image size is 256\*255 and the output image size is 512\*512.

#### **4.2 Palmprint Extraction**

Figure 3 represents the flow diagram of palmprint



# Fig 2. Flow diagram of fingerprint feature extraction.

feature extraction. The palmprint image is fed as input image. Adaptive histogram equalization technique is applied to enhance the contrast of the grayscale image [8]. Then a diffusion filter algorithm is used to remove noise from an image by modifying the image via a partial differential equation (PDE). Modifying the image according to this isotropic diffusion equation is equivalent to filtering the image with a Gaussian filter. Edge detection is performed using sobel filter to identifying the ridges. Thinning algorithms reduce connected patterns to a width of a single pixel while maintaining their topology. The thinning is performed using Morphological operation. Once this is done, the feature of the palmprint is successfully extracted. The input image size is 257\*226 and the output image size is 512\*512.



Fig 3. Flow diagram of paimprint feature extraction.

Figure 3 represents the flow diagram of palmprint feature extraction. The palmprint image is fed as input image. Adaptive histogram equalization technique is applied to enhance the contrast of the grayscale image [8]. Then a diffusion filter algorithm is used to remove noise from an image by modifying the image via a partial differential equation (PDE). Modifying the image according to this isotropic diffusion equation is equivalent to filtering the image with a Gaussian filter. Edge detection is performed using sobel filter to identifying the ridges. Thinning algorithms reduce connected patterns to a width of a single pixel while maintaining their topology. The thinning is performed using Morphological operation. Once this is done, the feature of the palmprint is successfully extracted. The input image size is 257\*226 and the output image size is 512\*512.

#### **4.3 Iris Extraction**

Figure 4 represents the flow diagram of iris feature extraction the iris image is given as the input. Morphological operation is performed on the input image to erode or dilate pixels [7]. The Fourier transform is applied to the image to filter the image based on frequency. Then edge detection process using sobel filter is performed. The Hough Transform is applied to the filtered image to find the straight lines (functions) hidden in larger amounts of other data. For detecting lines in images, the image is first binarised using some form of thresholding and then the positive instances catalogued [2]. Adaptive histogram technique is applied finally to enhance the contrast of the image.



Fig 4. Flow diagram of iris feature extraction.

Once this is done, the feature of the iris is successfully extracted. The input image size is 320\*280 and the output image size is 512\*512.

## 5. Sparse Representation Fusion Mechanism

The extracted features are fed as the input of the sparse fusion methodology. The input features were converted into matrix format to perform sparse representation [3]. Circular shift process is used to shifts the values in the array circularly by shift size elements. Shift size is a vector of integer scalars where the n-th element specifies the shift amount for the nth dimension of array.



Fig 5. Flow diagram of fusion mechanism Fusion Algorithm

If an element in shift size is positive, the values are shifted down (or to the right). If it is negative, the values are shifted up (or to the left). If it is 0, the values in that dimension are not shifted. The sparse function generates matrices in the MATLAB sparse storage organization. A Sparse Matrix is a matrix that mostly contains zeros. In MATLAB, sparse matrices contrast regular ones in the way they are stored, such that memory is used more efficiently for matrices that are sparse. It converts a full matrix to sparse form by squeezing out any zero elements. If the matrix is already sparse it returns the matrix.

Orthogonal Matching Pursuit is used to construct the values obtained into an image [1]. It is similar to Matching Pursuit, except that an atom once picked, cannot be picked again. The algorithm maintains an active set of atoms already picked, and adds new atoms at each iteration. In such a way sparse fusion is performed to the first two modalities to form fused template 1 and further it is performed to the obtained fused template 1 and the  $3^{rd}$  modality to form the ultimate fused template.

- 1. The images are fed as input.
- 2. The values of input image 1 and input image 2 are convolved.
- 3. The resultant matrix is converted into sparse representation by squeezing out the zero elements.
- 4. The sparse representation is further transformed into an image using orthogonal matching pursuit.
- 5. The fused template and the input image 3 are fed as input.
- 6. The values of the fused template and the input image 3 are convolved.
- 7. The resultant matrix is converted into sparse representation by squeezing out the zero elements.
- 8. The sparse representation is further transformed into an image using orthogonal matching pursuit.
- 9. Finally a fused template of the three inputs is obtained as output.

## 6. Experimental Results

### 6.1 Quality Metrics

Several quality metrics are used for analyzing the quality of images. Here Peak Signal Noise Ratio, Normalized Absolute Error and Normalized Cross Correlation metrics are used.

#### 6.1.1 Peak Signal-To-Noise Ratio (Psnr)

The PSNR block computes the peak signal-to-noise ratio, in decibels, between two images. This ratio is often used as a quality measurement between the original and a compressed image. The higher the PSNR, the better the quality of the compressed or reconstructed image.

The Mean Square Error (MSE) and the Peak Signal to Noise Ratio (PSNR) are the two error metrics used to compare image compression quality. The MSE represents the cumulative squared error between the compressed and the original image, whereas PSNR represents a measure of the peak error. The lower the value of MSE, the lower the error.

To compute the PSNR, the block first calculates the mean-squared error using the following equation:

$$MSE = \frac{\sum_{M,N} [I_1(m,n) - I_2(m,n)]^2}{M * N}$$
(1)

In the previous equation, M and N are the number of rows and columns in the input images, respectively. Then the block computes the PSNR using the following equation:

$$PSNR = 10\log_{10}\left(\frac{R^2}{MSE}\right) \tag{2}$$

In the previous equation, R is the maximum fluctuation in the input image data type. For example, if the input image has a double-precision floatingpoint data type, then R is 1. If it has an 8-bit unsigned integer data type, R is 255.

#### 6.1.2 Normalized Absolute Error

Normalized absolute error is the total absolute error normalized by the error simply predicting the average of the actual values. The large value of Normalized Absolute Error (NAE) means that image is poor quality. NAE is defined as follow:

$$NAE = \sum_{j=1}^{M} \sum_{k=1}^{M} \frac{|x_{j,k} - x_{j,k}|}{\sum_{j=1}^{M} \sum_{k=1}^{M} |x_{j,k}|} (3)$$

#### 6.1.3 Normalized Cross Correlation

1

Correlation based matching typically produces dense depth maps by calculating the disparity at each pixel within a neighborhood. This is achieved by taking a square window of certain size around the pixel of interest in the reference image and finding the homologous pixel within the window in the target image, while moving along the corresponding scan line. The goal is to find the corresponding

(correlated) pixel within a certain disparity range that minimizes the associated error and maximizes the similarity.

In brief, the matching process involves computation of the similarity measure for each disparity value, followed by an aggregation and optimization step. Since these steps consume a lot of processing power, there are significant speed-performance advantages to be had in optimizing the matching algorithm.

$$NCC = \sum_{i=1}^{M} \sum_{j=1}^{N} \frac{\left(A_{ij} + B_{ij}\right)}{\sum_{i=1}^{m} \sum_{j=1}^{n} (A_{ij})^{2}}$$
(4)

(i) Performance measure for Fingerprint edge detection methods

## Table 1. Image quality measure for Fingerprint edge detection methods

METRICS	CANNY	SOBEL	PREWITT
PSNR	51.624	51.644	51.599
NAE	5.234	5.035	5.709
NCC	0.510	0.521	0.492







Fig 7. Shows the comparison of NAE values for different edge detection process in fingerprint images.





(ii) Performance measure for Palmprint edge detection methods

 
 Table 2. Image quality measure for Palmprint edge detection methods

METRICS	CANNY	SOBEL	PREWITT
PSNR	51.208	51.214	51.203
NAE	8.412	7.861	8.480
NCC	0.500	0.505	0.491







Fig 10. Shows the comparison of NAE values for different edge detection process in palmprint images.

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- Fig 11. Shows the comparison of NCC values for different edge detection process in palmprint images.
- (ii) Performance measure for Iris edge detection methods
  - Table 3. Image quality measure for Iris edge detection methods.

METRICS	CANNY	SOBEL	PREWITT
PSNR	51.208	51.214	51.203
NAE	8.412	7.861	8.480
NCC	0.500	0.505	0.491



Fig 12. Shows the comparison of PSNR values for different edge detection process in iris images.



Fig 13. Shows the comparison of NAE values for different edge detection process in iris images.



Fig 14. Shows the comparison of NCC values for different edge detection process in iris images.

6.2 Sample Outputs of Sparse Representation Fusion



Fig 15. (a-o) shows the set of input images and (pt) shows the set of output images

Here the edge detection methods canny, sobel, prewitt are used and compared. The PSNR, NCC values for sobel edge detection method in fingerprint, iris, palmprint images are higher compared to canny and prewitt edge detection methods. The NAE values for sobel edge detection method in fingerprint, iris, palmprint are lower compared to canny, prewitt edge detection methods. Therefore sobel edge detection method proves the best. The above tables and figures show the performance results of edge detection method for fingerprint, iris, and palmprint.

## 7. Conclusion

In this project a novel joint sparsity-based feature level fusion algorithm for multimodal biometrics feature extraction is proposed. The algorithm is robust as it explicitly includes both noise and occlusion terms. Each biometric feature is individually extracted successfully and fused together using sparsity-based feature level fusion algorithm. As a result the fusion mechanism successfully produced the fused template. Various metrics such as PSNR, NAE, and NCC are used to measure the image quality. Based on the resultant of metrics the sobel edge detection process applied images were chosen for feature extraction. Extracted features were subjected to sparse representation for the fusion of different modalities. The fused template can be used for watermarking and person identification application. CASIA database is chosen for the biometric images. The future work is to watermark the fused template.

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